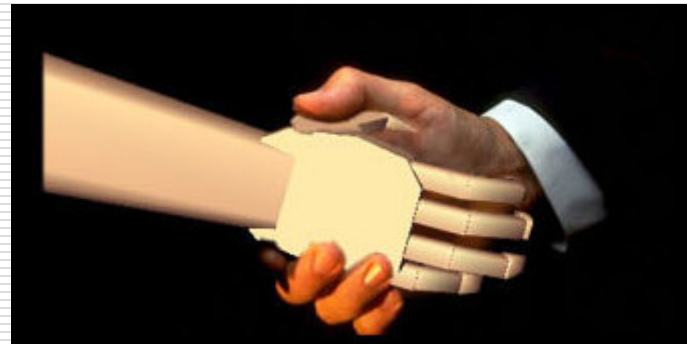


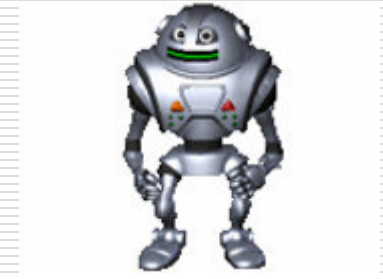
Human-computer interaction



Agent-based interfaces



What's an **agent**?

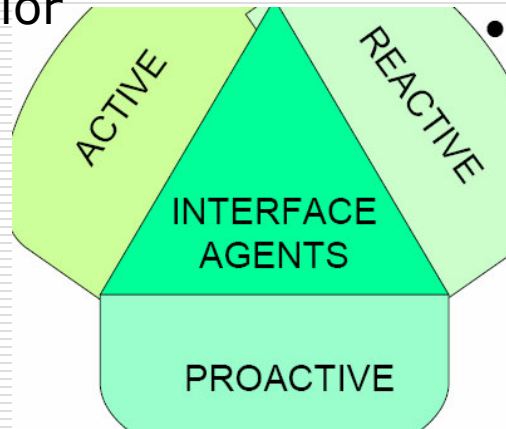


- They all share ascription of **human-like characteristics** to software that...
 - presents human-like appearance
 - is autonomous
 - is context-sensitive
 - has specialized expert knowledge
 - learns
 - etc.
- Usually focus on some of these characteristics, the agents don't satisfy all of them



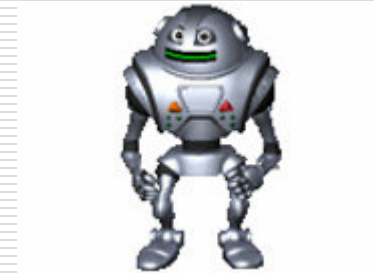
What are agent-based interfaces?

- Appear as **life-like** characters
- Plan **interactive** behavior **autonomously**



- **Respond** immediately to interruptions
- Handle questions or direct manipulation

- **Anticipate** the user's needs
- Adopt the user's **goals**
- Can **initiate** interaction
- Provide **unsolicited** comments



Basic terminology

□ Agent

- Autonomous, social, proactive, reactive
- Employs a certain expertise to support the user in solving a particular problem

□ Anthropomorphic agent

- agent with human-like appearance (cartoon-like ⇔ ... ⇔ realistic)
- Use of body for communication purpose

□ Avatar

- Bodily representation of a user in virtual worlds
- Real-time ⇔ user-guided, smart ⇔ autonomous



Agent issues

Anthropomorphism

- Do we want to think about the agent as being like a human being?

Autonomy

- How much authority do we want to give the agent to act on our behalf?

Feedback

- How can we tell what the agent is doing?

Instructability

- How can we influence the agent's behavior?



Interface issues

- **What** can (and should) an agent do?
- **How** they should do it?
 - Implicit vs. explicit tasking
 - Reporting
 - Activity
- How, when, and why should they **interact with the user** when doing it?
 - Locus of control
 - Mixed initiative
- Who is **responsible** when things go wrong?



Many kinds of agents

- Software agents for particular tasks
 - Search (e.g., Letiza broadens depth first browsing)
 - Desktop support (e.g. Microsoft's Office Assistant provides Bayesian-based task-sensitive help)
 - Collaborative filters (e.g., shopping recommenders [Resnick and Varian 1997])
- Interface agents, e.g., PPP
- Embodied conversational agents, e.g., REA
- Tutoring agents, e.g. Steve
- Collaborative agents, e.g. Max
- Social, relational agents, e.g. Laura
- Robots, e.g. Leonardo

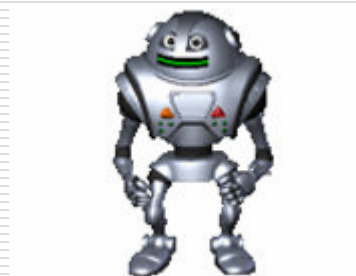
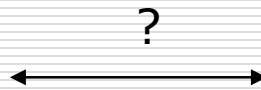


General value of agents

- Decrease task complexity
 - Bring expertise to the user (in the form of expert critiquing, task completion, co-ordination)
- Provide a more natural (i.e., anthropomorphic) environment with which to interact, e.g.,
 - multimodal communication
 - task, application, and discourse status via facial displays
- Tangible metaphor of mediation
 - There is “somebody” who helps me



how do agents come across? – some results



Effectivity/effeciency

- ❑ Redundancy of multimodality is not always advantageous (Weidenmann, 1997)
- ❑ Agents make educational software more effective, simply because children spend more time in front of it (Lester et al., 2000)
- ❑ Virtual agents can replace written instructions (Bente)
- ❑ Not always facilitation of memory by multimodal output (Krähmer et al.)
- ❑ Many open questions
 - systematic analyses are scarce
 - A lot of factors seem to be influential (task, appearance, behavior, user traits, ...)



Acceptance

- ❑ Graphical interfaces bring about higher acceptance (Hubona & Blanton, 1996; Ahern, 1993)
- ❑ Showing an anthropomorphic agent leads to judging the system as more entertaining (Takeuchi & Naito, 1995; Koda & Maes, 1996; van Mulken et al., 1998)
- ❑ Perceived intelligence and trustworthiness is increased (King & Oya, 1996; Sproull et al., 1996; Rickenberg & Reeves, 2000)
- ❑ Language processing abilities of the system rated higher



Acceptance

□ Role of appearance

- Social evaluation and attribution of friendliness or liking seems to be highly dependent on the specific appearance (Dehn & van Mulken, 2000; Sproull et al., 1996; Koda & Maes, 1996)
- Controversy about best kind of appearance: Cassell & Thórisson advocate anthropomorphic appearance, Ball & Bates not
- Parke (1991) recommends a not too realistic appearance since expectations may be raised
- Agents that resemble user in appearance, gender, ethnicity, etc. rated higher



Acceptance

□ Role of (nonverbal) behavior

- Even subtle nonverbal behaviors influence acceptance of a virtual agent
- Attribution of sociality and socio-emotional reactions elicited (Bente, Krähmer et al.)
- Rickenberg & Reeves (2000):
It is not sufficient „to focus on whether or not an animated character is present. Rather the ultimate evaluation is similar to those for real people – it depends on what the character does, what it says and how it presents itself“ (p. 55).



Reactions of the users

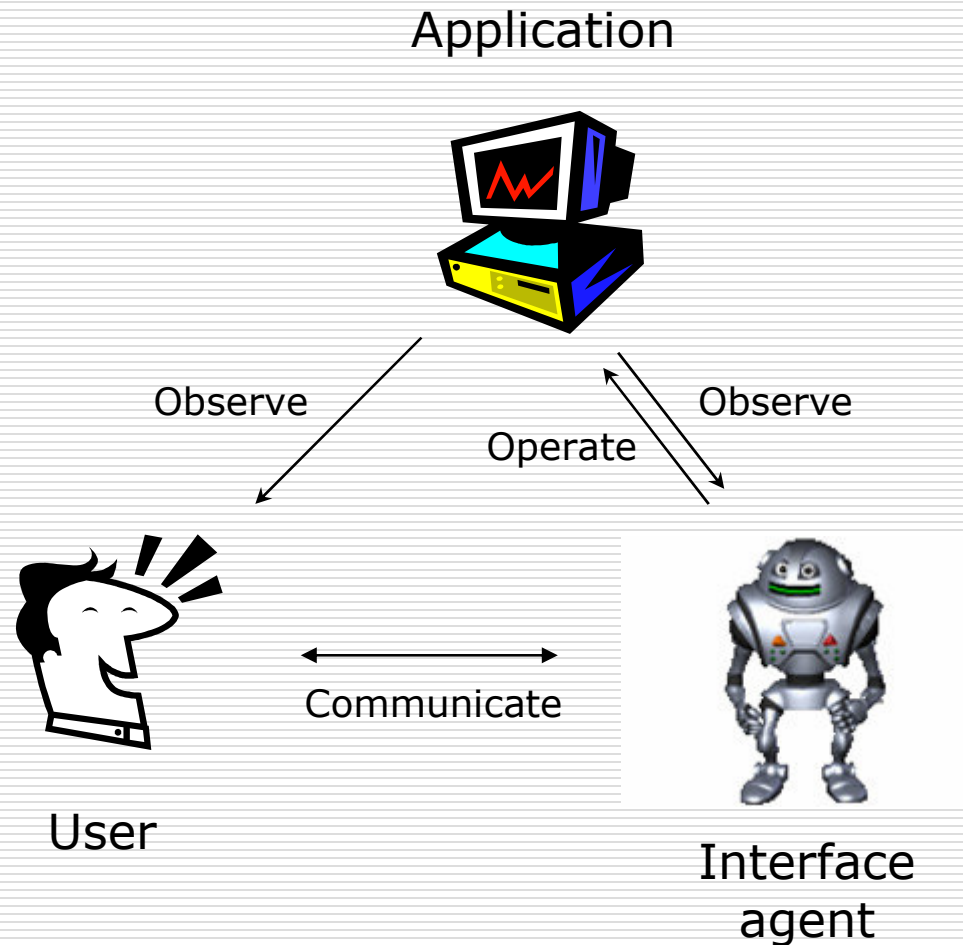
- Social presence of the virtual character
 - If confronted with an embodied interface agent, users try to present themselves in a more positive light (Sproull et al., 1996) ⇨ „impression management“
- Effects of social facilitation/social inhibition
 - Task-performance is inhibited by the social presence of a monitoring agent (Rickenberg & Reeves, 2000)
- Attention of the user is drawn to the face
- Open questions
 - Long-term effects, or do humans get used to it?
 - „Many people want computers to be responsive to people. But do we also want people to be responsive to computers?“



Kinds of agent-based interfaces

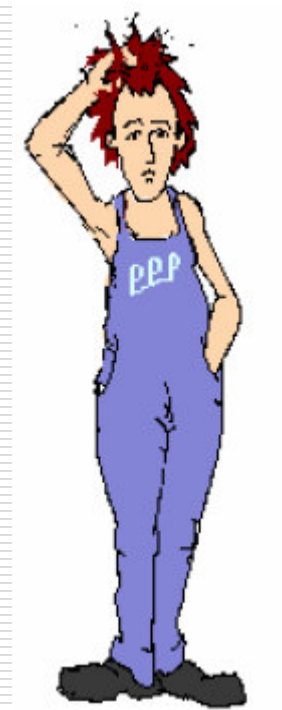
Interface agents

- Mediate between user and application
- Communicate with the user
- Operate the application for the user
- Agent and user can observe the application



Planned Presentation Persona (PPP)

- Interface agent for the WIP system
- Generates
 - technical instructions
 - Product presentations on the web
- Focus on behavior planning: given a presentation task and some parameters, decide...
 - Which material to present?
 - How to present it?
 - Which acts to perform?
 - Which temporal order?
 - How to achieve a believable overall behavior?



(DFKI, 1994)



Overview of presentation planning

presentation task



determine presentation acts



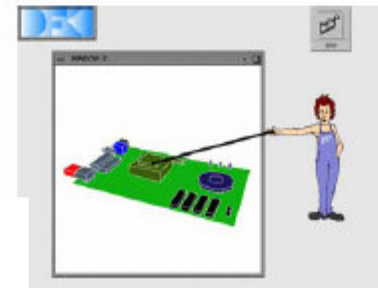
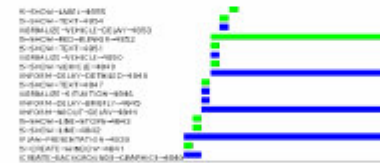
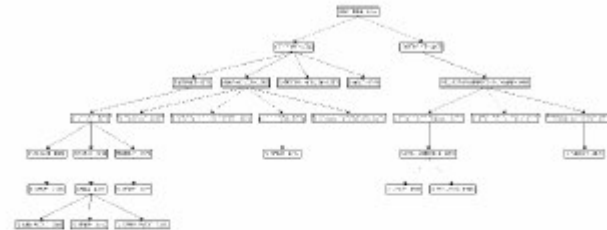
determine presentation schedule

Script



executed presentation

e.g., describe modem



Embodied agents

- Anthropomorphic appearance
- Different modalities with different benefits: *facial display, gaze, gesture, speech, intonation, body posture*
 - Adaptability
- Exchange of information on multiple levels in parallel
 - Modality synergy
- Natural communication „protocolls“
 - Increased naturalness, efficiency, smoothness, robustness of communication



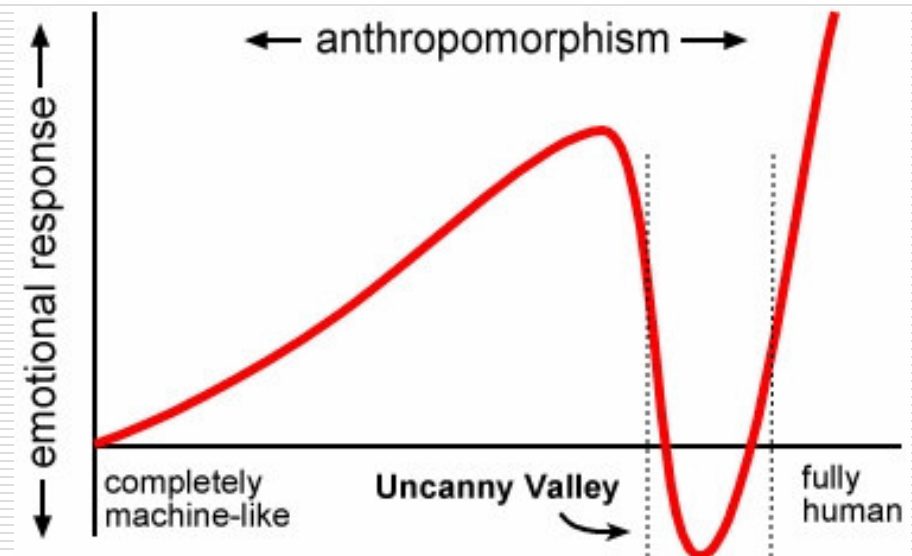
The „Uncanny valley“

[Masahiro Mori](#) (late 1970s): Emotional responses to robots vary with [anthropomorphism](#) in appearance & motion

- increasingly positive and empathic until suddenly strongly repulsive
- approaches human-human empathy when indistinguishable from humans

Frequent explanation: either human-like or non-human characteristics stand out, generating either empathy or „alienation“

Human-like appearance necessitates human-like behavior!



Embodied conversational agents

„Computer interfaces that hold up their end of conversation, have bodies and know how to use it for conversational behaviors as a function of the demands of dialogue and of emotion, personality, and social convention.“

(Cassell, 2000)

- Same properties as humans in **face-to-face communication**
 - Recognize and respond to verbal and nonverbal input
 - Generate verbal and nonverbal input
 - Deal with conversational functions of behaviors (e.g. turn taking, feedback)
 - Participate actively in discourse

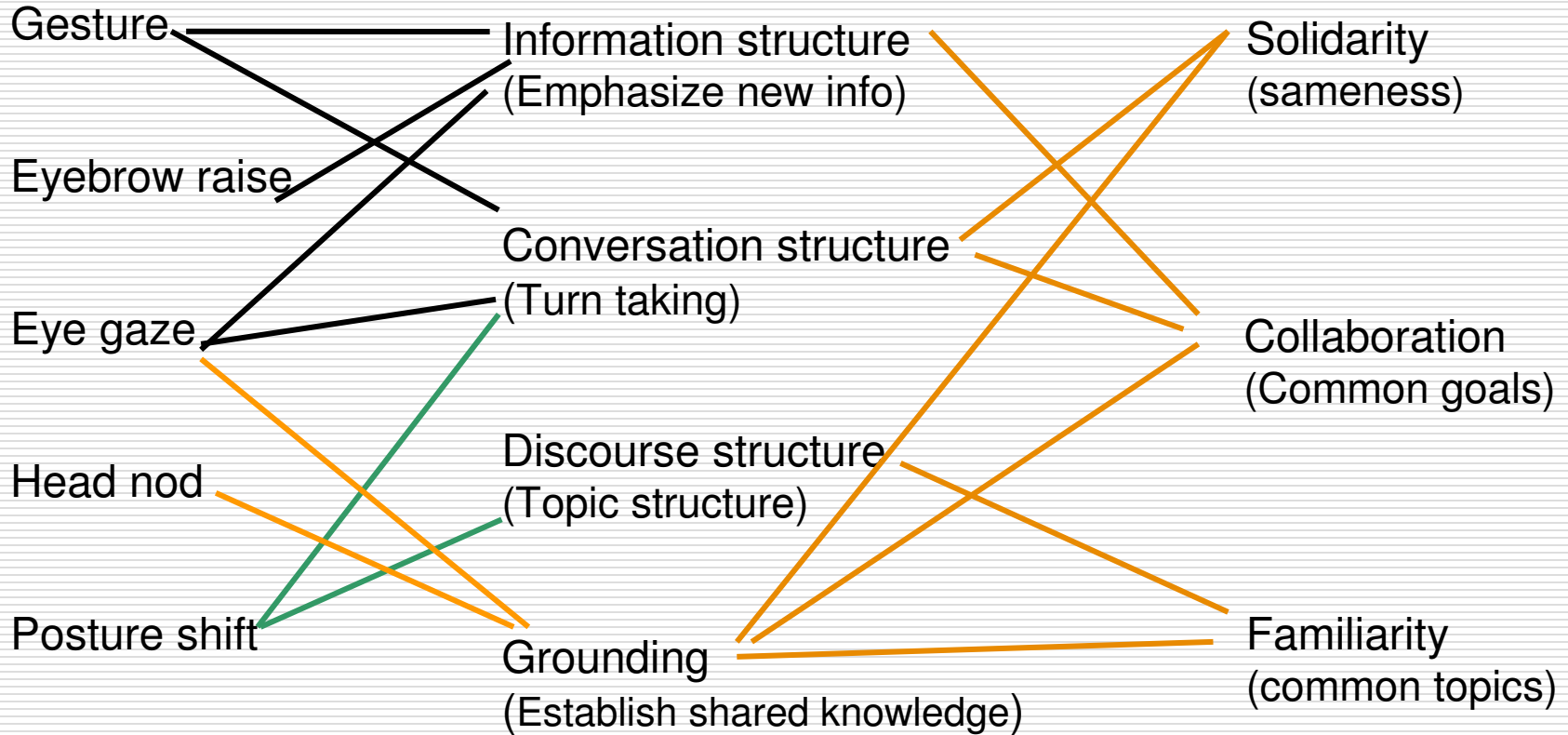


The importance of nonverbal behavior

Behavior

Discourse Structure

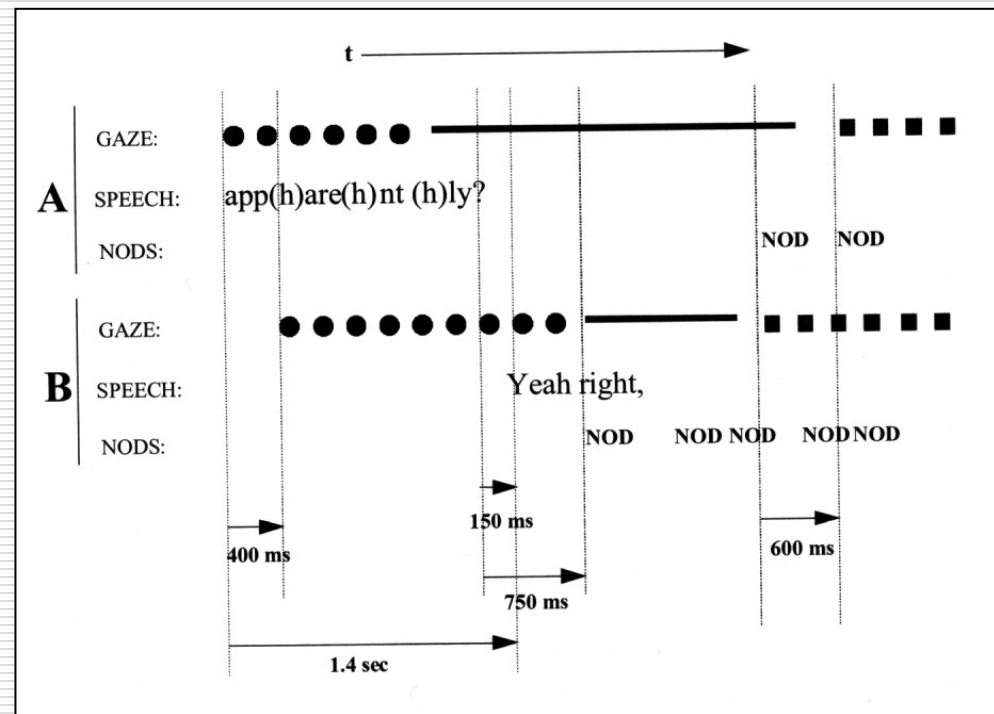
Interaction



Functions, Modalities, Timing, Behavior

- Distinction between
 - propositional and interactional **functions** of conversation
 - conversational functions and communicative **behaviors**

- Use of **modalities** to pursue multiple communicative goals in parallel
- **Timing** among behaviors on various timescales



Models of conversational function

Interactional and propositional *goals*

⇒ conveyed by conversational *functions* (cf), e.g., invitation, turn taking, turn keeping, provide feedback, emphasize

⇒ carried out by communicative *behaviors* (cb)

- A cb may convey several cf's; a cf may be realized by different sets of cb's
- Example: Turn taking (Cassell et al., 2000)

<i>Conv. function</i>	<i>Comm. behavior</i>
Give turn	Look, raise eyebrows
Want turn	Raise hands
Take turn	Glance away, start talking



ECA architecture: constraints

- Conversational function model
 - Explicit representation of cf as basis for core operations
 - Repository of cb's
 - Modules for mapping cf on output (cb's) and inferring cf's from input (⇒ symmetric architecture)
- Handle propositional and interactional information
 - User model
 - Planning of multi-sentence output
 - Domain and environment knowledge (static, dynamic)
 - Dynamic discourse model



ECA Architecture: constraints

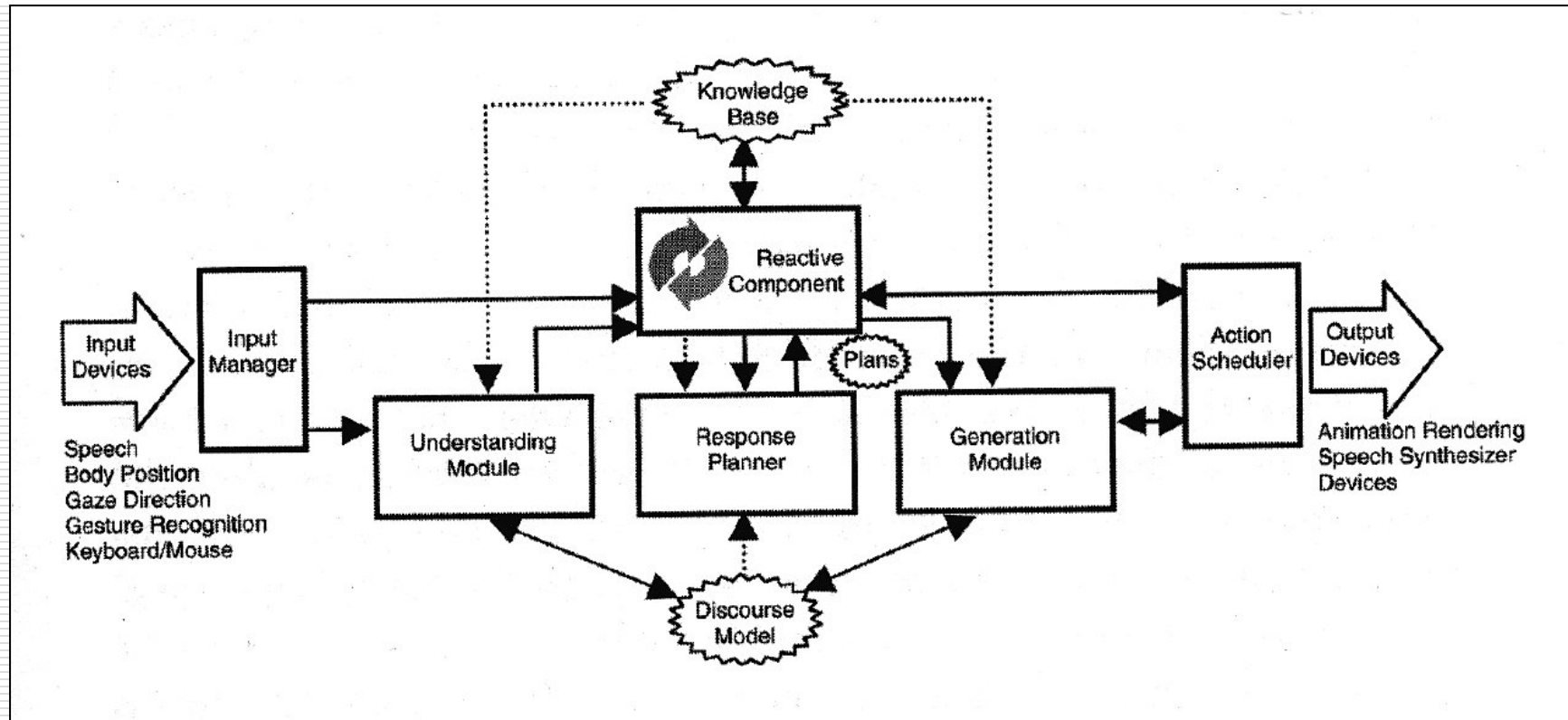
- Multistep deliberation, parallelism, modularity
 - Modules for input understanding, response/dialogue planning, and output generation
 - Processing in parallel

- Timing & efficiency
 - Handle different threads of communication with different response-time requirements (e.g. quick reaction time for interactional behaviors)
 - Different processes concentrating on activities at various timescales

- Output synchrony



FXPAL architecture



REA – the real estate agent

- ❑ Embodied Conversational (interface) agent
- ❑ Real estate agent
- ❑ FMTB model on top of FXPAL architecture
- ❑ Multimodal input/output & active dialogue management

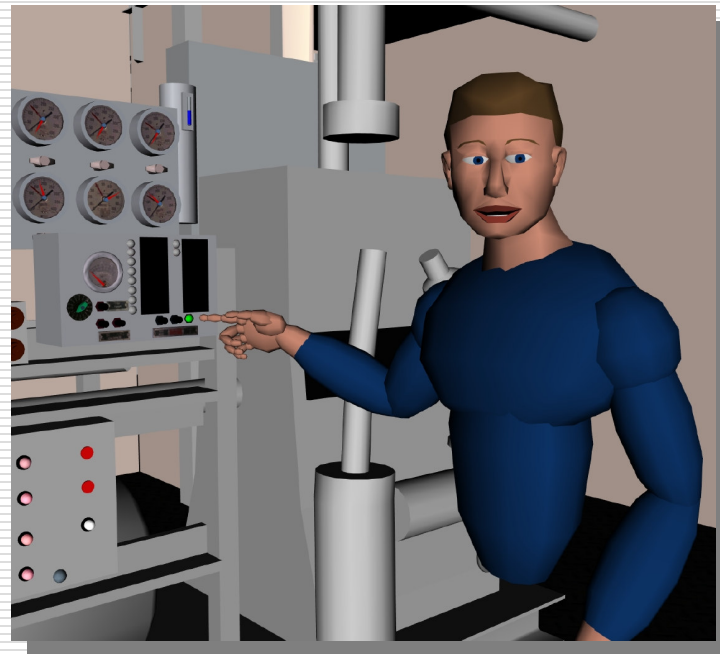
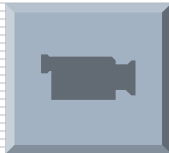


(Cassell et al.,
1999, 2000)



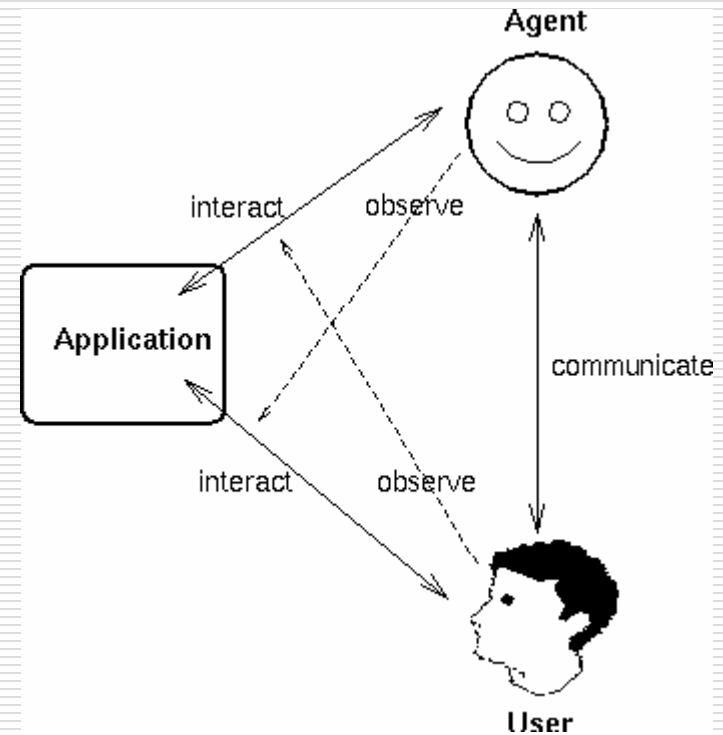
Tutoring (pedagogical) agents

- Teach user interactively a task
- Example: Steve (Rickle & Johnson, 1998, 2000)
 - Teach students how to operate and maintain gas turbine engines aboard naval ships
 - Co-situated with the user in a 3D virtual world
 - Task-oriented dialogues
 - Locomotion & multimodal behaviors (face, gaze, head movements, arm and hand movements)



Collaborative agents

- Human and agent collaborate on a task
- Both observe the other
- Both can communicate about the task



Max (AG-WBS, Uni Bielefeld)

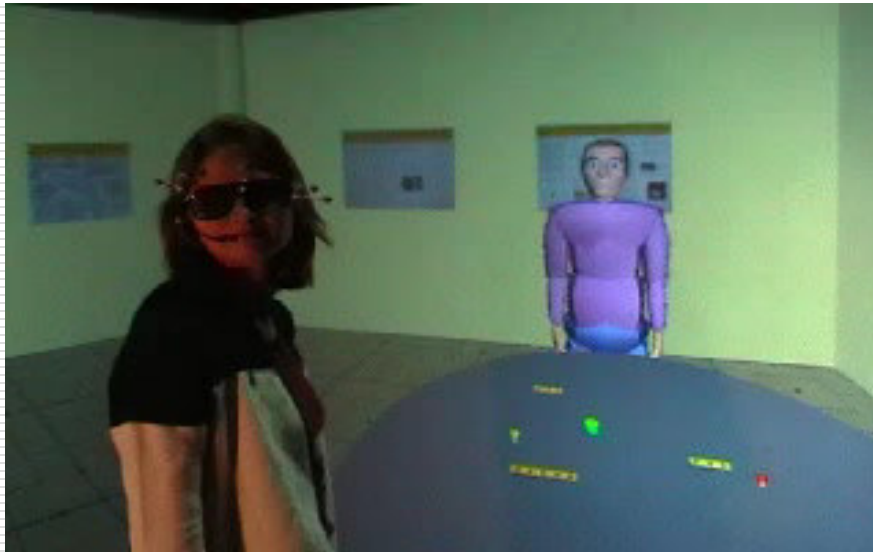
- Collaborates with user in a shared virtual world
- Baufic construction task
- Based on cognitive architecture
 - Auditory and (simulated) visual perception
 - BDI-based deliberation
 - Hybrid architecture
 - On-the-fly utterance generation



(Kopp et al., 2001;
Leßmann & Wachsmuth, 2003;
Kopp & Wachsmuth, 2004)



Max – the assembly expert



Max – the museum guide



Max – the „receptionist“



Social agents

- Use human social interaction protocols in the interface
 - Small talk, mirroring to build rapport
 - Immediacy to show liking
 - Flattery to increasing liking
 - etc.
- **Relational** Agents: Computational artifacts designed to build and maintain long-term, socialemotional relationships with their users
- Example: Laura (Bickmore, Picard, et al.)
 - Small talk, humor, politeness
 - Emotions and empathy
 - Reasoning and communication about relations



Social robot companions: Leonardo

- ❑ Collaborative dialogue
- ❑ Modeling collaborative behavior by means of social cues
- ❑ Able to read same cues from human user and to provide mutual support back (intention recognition)
- ❑ Learning goal-directed actions through imitation and vision

TEACHING ROBOTS AS
A COLLABORATIVE DIALOG

Robotic Life Group
MIT Media Laboratory

C. Breazeal (MIT)



Where are we heading?

- A vision from the Morpha project
(BMBF; 1999-2003)

