

# Spezielle Themen der Künstlichen Intelligenz

## 2. Termin: Constraint Satisfaction

Dr. Stefan Kopp  
Center of Excellence „Cognitive Interaction Technology“  
AG Sociable Agents

## Recall: Best-first search

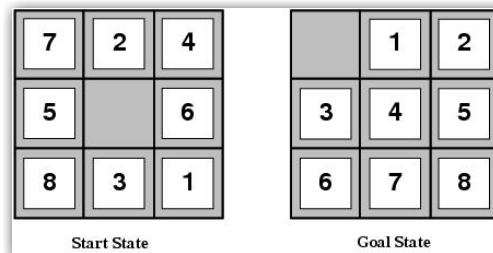
- ▶ Best-first search = graph-search with node expansion in order of cost heuristic  $h(n)$
- ▶ Greedy best-first search = expand node with minimal  $h(n)$ 
  - not optimal but often efficient
- ▶ A\* search = expand node with minimal  $f = g+h$ 
  - complete & optimal: admissible (tree-search) or consistent (graph-search)  $h$
- ▶ SMA\* (Simplified Memory-bounded A\*)
  - drop worst leaf node when memory is full, backs up  $f$ -value to its parent for later re-expansion
- ▶ RBFS (Recursive Best-First Search) ~ recursive DF search with...
  - keep track of  $f$ -values of alternative paths, backtrack if  $f >$  alternative  $f$
  - upon backtracking, change  $f$ -value of node to best  $f$ -value of its children, to decide later whether to re-expand

Performance depends crucially on the quality of the heuristics!

## Heuristic functions

Example: 8-puzzle

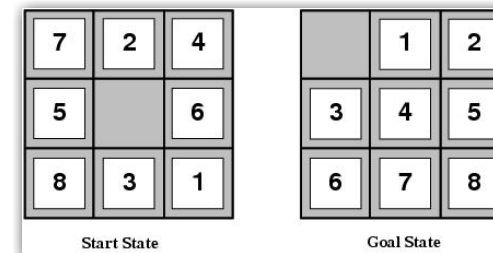
- ▶ average solution cost is about 22 steps (branching factor ~3)
- ▶ exhaustive search to depth 22:  $3^{22} \sim 3.1 \times 10^{10}$  states
- ▶ a good heuristic function is needed to reduce the search process



## Heuristic functions

Two commonly used heuristics

- ▶  $h_1$  = number of misplaced tiles  $\rightarrow h_1(\text{start})=8$
- ▶  $h_2$  = manhattan distance = sum of distances of tiles from their goal positions  $\rightarrow h_2(\text{start})=3+1+2+2+2+3+3+2=18$



True solution cost = 26

## Heuristic quality and dominance

Example: 1200 random 8-puzzle problems with solution lengths from 2 to 24

d	Search Cost			Effective Branching Factor		
	IDS	A*(h1)	A*(h2)	IDS	A*(h1)	A*(h2)
2	10	6	6	2.45	1.79	1.79
4	112	13	12	2.87	1.48	1.45
6	680	20	18	2.73	1.34	1.30
8	6384	39	25	2.80	1.33	1.24
10	47127	93	39	2.79	1.38	1.22
12	3644035	227	73	2.78	1.42	1.24
14	-	539	113	-	1.44	1.23
16	-	1301	211	-	1.45	1.25
18	-	3056	363	-	1.46	1.26
20	-	7276	676	-	1.47	1.27
22	-	18094	1219	-	1.48	1.28
24	-	39135	1641	-	1.48	1.26

If  $h_2(n) \geq h_1(n)$  for all  $n$  (and both admissible), then  $h_2$  is said to *dominate*  $h_1$  and is better for search!

## How good is a heuristic?

Effective branching factor  $b^*$

- ▶  $N$  = #nodes generated by  $A^*$  in total,  $d$  solution depth
- ▶  $b^*$  = branching factor that a *uniform* tree of depth  $d$  would have in order to contain  $N+1$  nodes

$$N + 1 = 1 + b^* + (b^*)^2 + \dots + (b^*)^d$$

- ▶ measure is fairly constant for sufficiently hard problems
- ▶ measurement of  $b^*$  on small problems can provide a good guide to the heuristic's overall usefulness (a good value is 1)

## Inventing admissible heuristics

from an exact solution of a *relaxed version* of the problem

- ▶ Example: relaxed 8-puzzle for  $h_1$ : a tile can move anywhere
- ▶ never greater than the optimal solution cost of the real problem
- „ABSolver“ automatically found heuristic for the rubic cube

from the solution cost of a *subproblem* of the problem

- ▶ lower bound on the cost of the real problem

from a database of exact solutions for *possible subproblem instances*

- ▶ construct complete heuristic from the patterns in the DB
- ▶ can use disjoint databases for different subproblems, when solutions don't interfere (works only for some problems)

## Constraint satisfaction problems

Dr. Stefan Kopp  
Center of Excellence „Cognitive Interaction Technology“  
AG Sociable Agents

## Constraint satisfaction problems

Standard search problem:

- ▶ state is a "black box" – any data structure that supports successor function, heuristic function, and goal test

Constraint satisfaction problem (CSP):

- ▶ structured state = variables  $X_i$ , values from domain  $D_i$
- ▶ goal test = set of constraints specifying allowable combinations of values for subsets of variables
- ▶ solution = complete assignment of values to (all) variables that passes the goal test (consistent or legal)

Enables useful standard algorithms (for all CSPs) with effective, generic heuristics, without domain expertise

## Example: map coloring

„Dreifarbenproblem“  
*np-complete!*



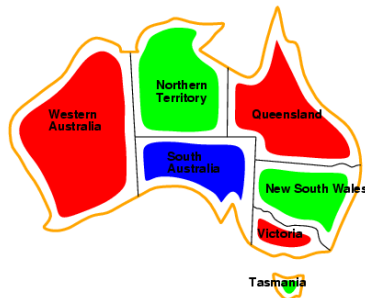
Variables: WA, NT, Q, NSW, V, SA, T

Domains:  $D_i = \{\text{red, green, blue}\}$

Constraints: adjacent regions must have different colors

e.g.,  $WA \neq NT$ , or  $(WA, NT) \in \{(\text{red, green}), (\text{red, blue}), (\text{green, red}), (\text{green, blue}), (\text{blue, red}), (\text{blue, green})\}$

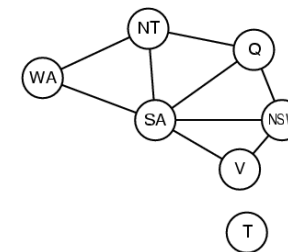
## Example: map coloring



Solutions are complete and consistent assignments

- ▶ Example: WA = red, NT = green, Q = red, NSW = green, V = red, SA = blue, T = green

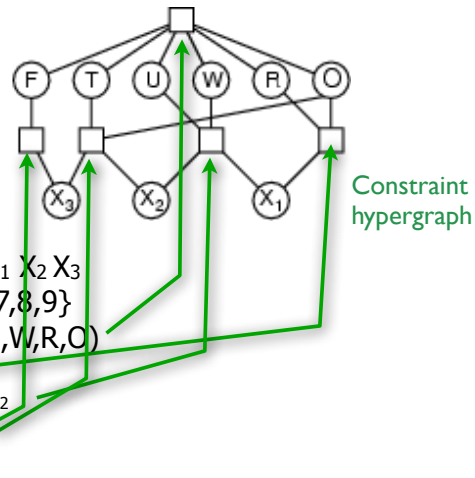
## Constraint graph



Binary constraints: each constraint relates two variables

Constraint graph: nodes are variables, arcs are constraints

## Higher-order constraints

$$\begin{array}{r} T W O \\ + T W O \\ \hline F O U R \end{array}$$


Variables: F T U W R O  $X_1$   $X_2$   $X_3$

Domains:  $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$

Constraints: *Alldiff* (F,T,U,W,R,O)

$$O + O = R + 10 \cdot X_1$$

$$X_1 + W + W = U + 10 \cdot X_2$$

$$X_2 + T + T = O + 10 \cdot X_3$$

$$X_3 = F, T \neq 0, F \neq 0$$

## Variables in CSPs

### Discrete variables

- ▶ **finite** domains:  $n$  variables, domain size  $d \rightarrow O(d^n)$  assignments
  - e.g. Boolean CSPs (3SAT): exponential time, NP-complete
- ▶ **infinite** domains: integers, strings, etc.
  - e.g., job scheduling, variables: start/end days for each job
  - need a constraint language, e.g.,  $\text{StartJob}_1 + 5 \leq \text{StartJob}_3$

### Continuous variables

- ▶ e.g., start/end times for Hubble Space Telescope observations
- ▶ **must obey a variety of constraints**
  - linear constraints (forming a convex region) solvable in polynomial time by linear programming methods

## Solving CSPs

Standard search algorithm can be applied directly:

- ▶ **States**: defined by the values assigned so far
- ▶ **Initial state**: the empty assignment  $\{ \}$
- ▶ **Successor function**: assign value to variable without conflict
  - fail, if no legal assignments possible
- ▶ **Goal test**: the current assignment is complete
- ▶ **Path cost**: constant step cost

Every solution with  $n$  variables (domain size  $d$ ) appears at depth  $n$

- ▶ branching factor  $b = (n-i)d$  at depth  $i$ ,
- ▶  $\rightarrow$  tree with  $n! \cdot d^n$  leaves even though only  $d^n$  assignments!!
  - commutativity is ignored: same combinations are explored multiple times along different paths (in different order)

## Backtracking search

Variable assignments are commutative!

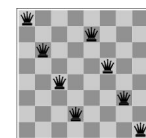
- ▶  $[WA = \text{red then } NT = \text{green}] \sim [NT = \text{green then } WA = \text{red}]$

Only need to consider assignments to a single variable at each node

- ▶  $b = d$  and there are  $d^n$  leaves

**Backtracking search** = depth-first search for CSPs with single-variable assignments

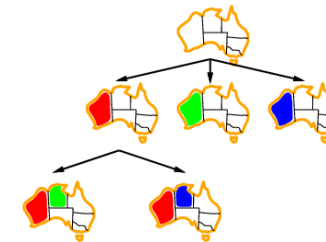
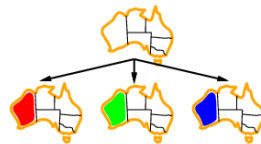
- ▶ basic uninformed algorithm for CSPs
- ▶ example: can solve „n-queens“ for up to  $n \approx 25$

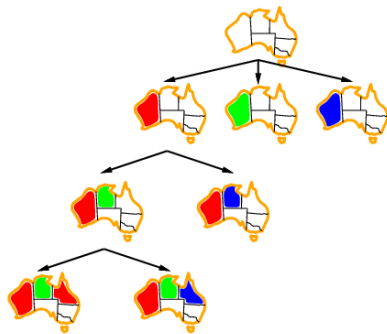


## Backtracking search

```
function BACKTRACKING-SEARCH(csp) return a solution or failure  
return RECURSIVE-BACKTRACKING({}, csp)
```

```
function RECURSIVE-BACKTRACKING(assignment, csp) return a solution or failure  
if assignment is complete then return assignment  
var ← SELECT-UNASSIGNED-VARIABLE(VARIABLES[csp],assignment,csp)  
for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do  
  if value is consistent with assignment according to CONSTRAINTS[csp] then  
    add {var=value} to assignment  
    result ← RECURSIVE-BACKTRACKING(assignment, csp)  
    if result ≠ failure then return result  
    remove {var =value} from assignment  
return failure
```





Each level explores different assignments to a *single variable*

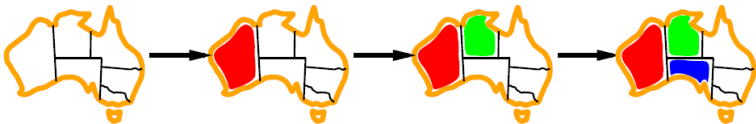
## Improving backtracking

Standard search improved by incorporating domain-specific knowledge (heuristics)

CSPs can be improved by using **general-purpose methods** to address the questions:

- ▶ Which variable should be assigned next?
- ▶ In what order should its values be tried?
- ▶ What implications (i.e. restrictions) has an assignment for other possible variable assignments?
- ▶ Can we detect inevitable failure (inconsistent assignments) early?
- ▶ Can we avoid repeating a failing path?

## Minimum remaining values heuristic (MRV)



`var ← SELECT-UNASSIGNED-VARIABLE(VARIABLES[csp],assignment,csp)`

Also known as **most constrained variable** heuristic

- ▶ *Rule*: choose variable with the fewest legal moves left
- ▶ Which variable shall we try first?

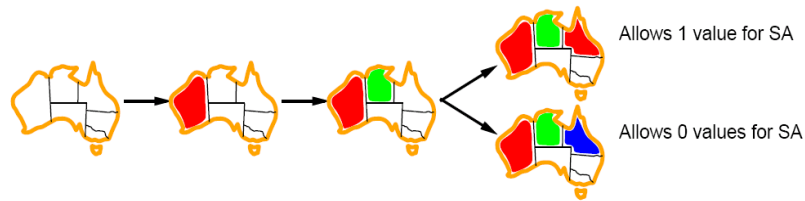
## Degree heuristic



### Degree heuristic

- ▶ *Rule*: select variable that is involved in the largest number of constraints on other unassigned variables
- ▶ attempts to reduce future branching factors, very useful as a „tie breaker“
- ▶ In what order should its values be tried?

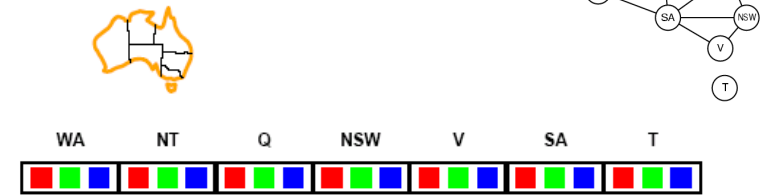
## Least constraining value heuristic



### Least constraining value heuristic

- ▶ Rule: given a variable choose the least constraining value i.e. the one that leaves the maximum flexibility for subsequent variable assignments.

## Forward checking

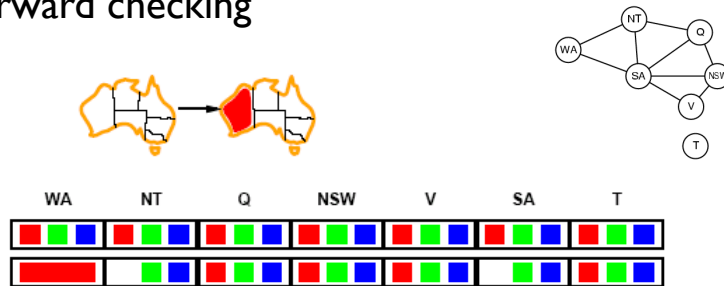


Can we detect inevitable failure early (to reduce search space), and avoid it later?

### Forward checking:

- ▶ on assigning X, check every connected variable Y
- ▶ remove all values from domain of Y inconsistent with X
- ▶ terminate search when any variable has no legal moves left

## Forward checking

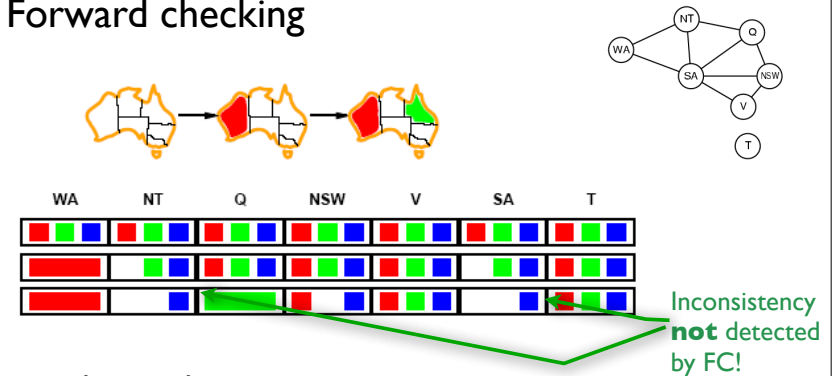


Assign {WA=red}

Effects variables connected by constraints with WA

- ▶ NT can no longer be red
- ▶ SA can no longer be red

## Forward checking



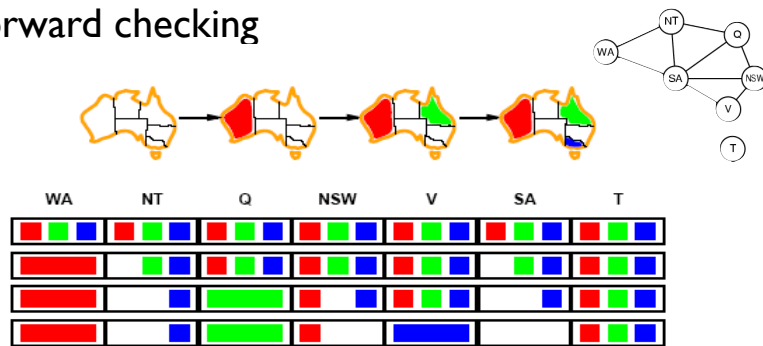
Assign {Q=green}

Effects variables connected by constraints with Q

- ▶ NT can no longer be green
- ▶ NSW can no longer be green
- ▶ SA can no longer be green

MRV heuristic will automatically select NT and SA next, why?

## Forward checking



If V is assigned blue

Effects variables connected by constraints with V

- ▶ **NSW can no longer be blue**
- ▶ **SA is empty**

Now, FC has detected that the partial assignment is inconsistent with the constraints, and backtracking will occur

## Constraint propagation



*Better approach:* forward checking combined with heuristics

- ▶ more efficient and less error-prone than either approach alone
- ▶ forward checking does not provide (early enough) detection of all failures

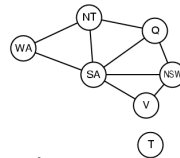
+ **constraint propagation**

- ▶ implications of a constraint on one variable must be *repeatedly* propagated onto other connected variables

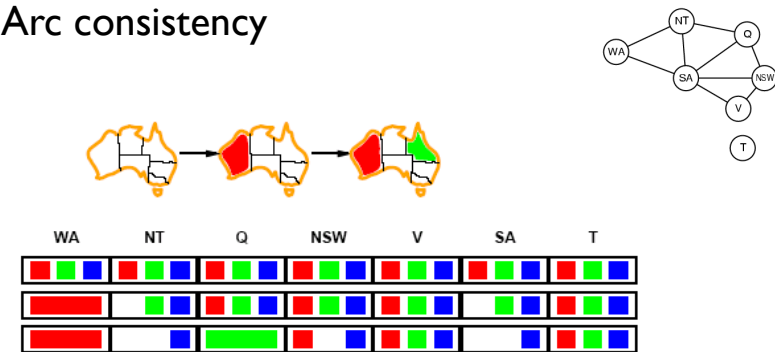
## Arc consistency

Fast method for constraint propagation:

- ▶ constraints considered as **directed arcs** in constraint graph
- ▶  $X \rightarrow Y$  is **(arc) consistent** iff for every value  $x$  of  $X$  there is some allowed value  $y$  of  $Y$
- ▶ if  $y$  changes, keep  $X \rightarrow Y$  consistent by setting domain of  $X$
- ▶ iterative procedure to **continuously re-check** all constraints on neighbouring variables in the constraint graph
- ▶ backtrack, if any variable's domain empty (arc inconsistent)
- ▶ Possible outcomes (when all arcs consistent)
  - one domain is empty - no solution
  - each domains has single value - unique solution
  - some domains have more than one value - may or may not be a solution  
→ search and run again



## Arc consistency

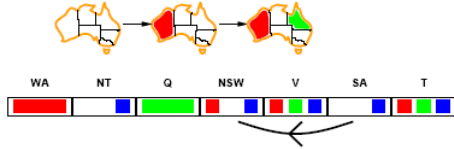
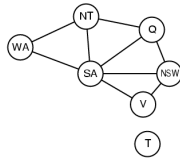


*Example:* After setting  $Q = \text{green}$  and forward checking (NT, SA, NSW)

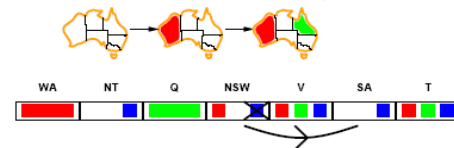
- ▶ having produced inconsistency between NT and SA



# Arc consistency

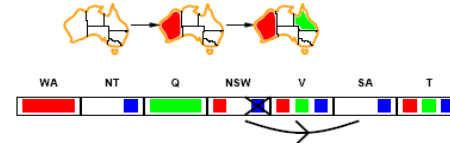
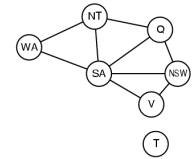


SA → NSW is consistent iff  
 - SA=blue and NSW=red

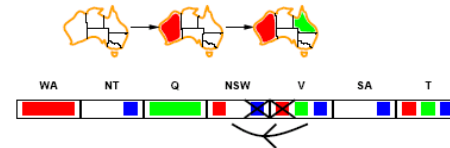


NSW → SA is consistent iff  
 - NSW=red and SA=blue  
 - NSW=blue and SA=???  
 → arc inconsistent  
 ▶ Remove blue from domain of NSW

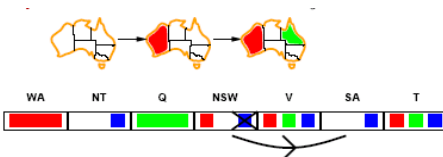
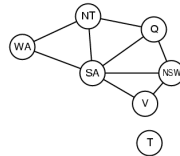
# Arc consistency



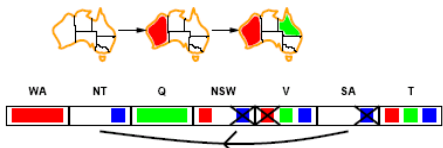
V → NSW is consistent iff  
 - V=blue and NSW=red  
 - V=green and NSW=red  
 - V=red and NSW=red ???  
 → arc inconsistent  
 ▶ Remove red from domain of V



# Arc consistency



SA → NT is consistent iff  
 - SA=blue and NT=blue???  
 → arc inconsistent  
 ▶ Remove blue from domain of SA  
 ▶ empty domain  
 → backtrack



→ arc consistency detects failure earlier than Forward Checking, can be run as a preprocessor or after each assignment  
 ▶ must run repeatedly until no inconsistency remains

# AC-3: Arc consistency algorithm

```

function AC-3(csp) return the CSP, possibly with reduced domains
inputs: csp, a binary csp with variables {X1, X2, ..., Xn}
local variables: queue, a queue of arcs to check, initially all arcs in csp
while queue is not empty do
    (Xi, Xj) ← REMOVE-FIRST(queue)
    if REMOVE-INCONSISTENT-VALUES(Xi, Xj) then
        for each Xk in NEIGHBORS[Xi] do
            add (Xi, Xk) to queue

function REMOVE-INCONSISTENT-VALUES(Xi, Xj) return true iff we remove a value
removed ← false
for each x in DOMAIN[Xi] do
    if no value y in DOMAIN[Xj] allows (x,y) to satisfy the constraints between Xi and Xj
    then delete x from DOMAIN[Xi]; removed ← true
return removed
    
```

# K-consistency

## Arc consistency (AC-3)

- ▶ runs in  $O(n^2d^3)$ : at most  $O(n^2)$  arcs (=binary constraints), each arc inserted only  $d$  times, consistency check of an arc in  $O(d^3)$
- ▶ but does *not* detect all inconsistencies
  - $\{WA=red, NSW=red\}$  inconsistent but not found

stronger forms of propagation can be defined using the notion of **k-consistency**

- ▶ a CSP is **k-consistent** if for any consistent assignment to any subset of  $k-1$  variables, a consistent value can always be assigned to any  $k$ -th variable
- ▶ Examples:
  - 1-consistency or node-consistency
  - 2-consistency or arc-consistency
  - 3-consistency or path-consistency

# Further improvements

## Checking special constraints

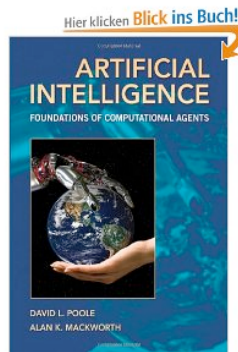
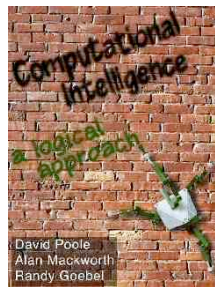
- ▶ e.g. *Alldiff(...)* constraint
- ▶ e.g. *Atmost(...)* constraint (resource constraint)
- ▶ Bounds propagation useful in larger value domains

## Intelligent backtracking

- ▶ standard form is chronological backtracking, i.e. try different value for most recent preceding variable
- ▶ more intelligent: backtrack to conflict set for variable  $X$ 
  - set of variables that caused the failure, or set of previously assigned variables that are connected to  $X$  by constraints
  - „backjumping“: to most recent element of the conflict set
  - forward checking can be used to determine conflict set

# Examples

[www.aispace.org](http://www.aispace.org)



(Cambridge Univ. Press 2010)  
*fully available online!*

# Local search

Previously: systematic exploration of search space

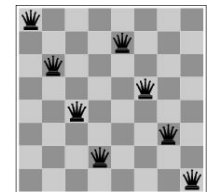
- ▶ often, path to goal is solution to the problem

Yet, for CSPs the path is irrelevant

- ▶ E.g. 8-queens

Different algorithms can be used

- ▶ Local search



## Local search and optimization

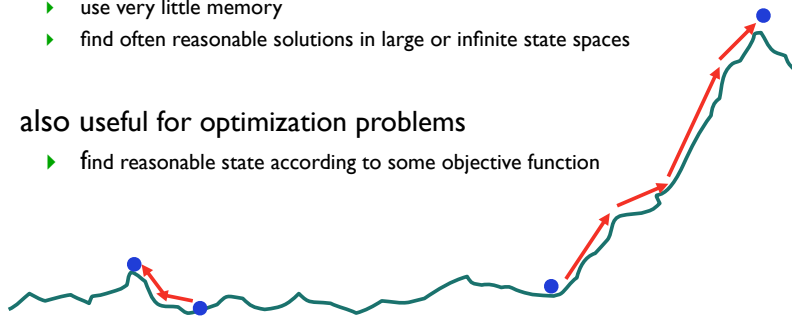
**Local search** = use only single current state (local knowledge) and move to neighboring states

advantages:

- ▶ use very little memory
- ▶ find often reasonable solutions in large or infinite state spaces

also useful for optimization problems

- ▶ find reasonable state according to some objective function



## Important local search techniques

**Random walk**: choose fully randomly from among neighbors

**Hill-climbing** aka. **gradient descent/ascent** aka. **greedy local search**

- ▶ stochastic: choose randomly from among uphill moves
- ▶ 1st choice: create successors randomly until better found
- ▶ random restart: reset variables randomly at regular intervals

**Simulated Annealing**

- ▶ allow random guesses (even when bad moves), with decreasing size & frequency

**Local-Beam Search**

- ▶ k parallel search threads that pass information about the local milieu among them

**Genetic algorithms**

## Local search for CSP

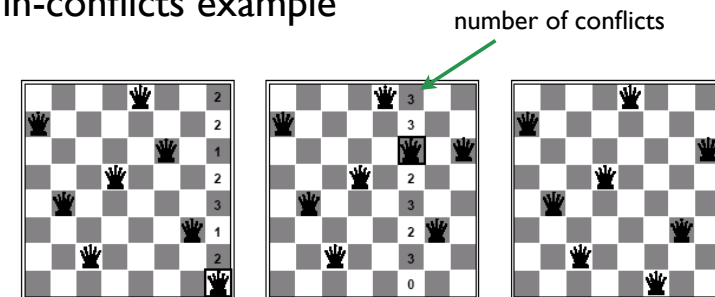
use **complete-state representation**

- ▶ initial state assigns a value to every variable
- ▶ allow states with unsatisfied constraints
- ▶ operators *reassign* variables

questions during CSP search: **which** variable to change **how**?

- ▶ randomly select any conflicted variable
- ▶ select a new value that results in a minimum number of conflicts with the other variables („**min-conflicts heuristic**“)

## Min-conflicts example



Two-step solution for 8-queens problem (with reasonable initial state)

- ▶ **variable selection**: at each stage a queen is chosen for reassignment in its column
- ▶ **value selection**: the algorithm moves the queen to the min-conflict square, breaking ties randomly

## Comparison of CSP algorithms

Problem	Back-tracking	BT-MRV	FC	FC+MRV	Min-conflicts
USA coloring	>1.000K	>1.000K	2K	60	64
<i>n</i> -Queens (2-50)	>40.000K	13.500K	>40.000K	817K	4K
Zebra puzzle	3.859K	1K	35K	0.5K	2K

**Bottom line:** local search surprisingly good, can even be used online!

- ▶ n-queens.: roughly independent of problem size, solves million-queens in ~50 steps (because solutions densely distributed)
- ▶ Hubble: schedules a week in ~10 min., instead of 3 weeks



## Examples

[www.aispace.org](http://www.aispace.org)

